

## MATH 4L03 Assignment #4 Solutions

Due: Friday, November 8, 11:59pm.

1. Do exercise 4.11 (b) on page 150 of the textbook.

**Solution:** The following occurrences of variables are free: the second occurrence of  $x_1$  and the first and third occurrences of  $x_2$ . All other occurrences of variables are bound.

2. Do exercise 4.12 from page 150 of the textbook.

**Solution:**

- The scope of  $\forall y$  is the subformula  $(\exists x(R(y, z) \rightarrow \exists yR(x, y)) \wedge \neg \forall zR(x, y))$ . The first and third occurrences of  $y$  in this subformula are bound by this quantifier.
- The scope of  $\exists x$  is the subformula  $(R(y, z) \rightarrow \exists yR(x, y))$ . The occurrence of  $x$  in this subformula is bound by this quantifier.
- The scope of  $\forall y$  is the first occurrence of the subformula  $R(x, y)$ . The occurrence of  $y$  in this subformula is bound by this quantifier.
- The scope of  $\forall z$  is the second occurrence of the subformula  $R(x, y)$ . No variables are bound by this quantifier.

3. Do exercise 4.13 from page 151 of the textbook.

**Solution:** The values of the three terms, using the given structure and interpretation of variables is:

(a) 4

(b) 8

(c) 37

4. Let  $L$  be the first order language that has a single 2 place relation symbol  $E$ . Show that if  $\mathcal{A} = \langle A, E^{\mathcal{A}} \rangle$  is an  $L$ -structure that satisfies the following two sentences:

$$\forall x (E(x, x))$$

$$\forall x \forall y \forall z ((E(x, y) \wedge E(y, z)) \rightarrow E(z, x))$$

then it also satisfies the sentence

$$\forall x \forall y (E(x, y) \rightarrow E(y, x)).$$

Note that this provides a slightly shorter axiomatization of the class of equivalence relation structures.

**Solution:**

Suppose that  $\mathcal{A} = \langle A, E^{\mathcal{A}} \rangle$  is a structure that satisfies the two sentences given in the problem. Let  $a, b \in A$  and suppose that  $(a, b) \in E^{\mathcal{A}}$ . We must show that  $(b, a) \in E^{\mathcal{A}}$ . From the first sentence we know that  $(a, a) \in E^{\mathcal{A}}$  and from the second we know that  $(a, a) \in E^{\mathcal{A}}$  and  $(a, b) \in E^{\mathcal{A}}$  implies  $(b, a) \in E^{\mathcal{A}}$  as required.

5. (a) Using the same language  $L$  as in the previous question, show that there is no  $L$ -structure  $\mathcal{A} = \langle A, E^{\mathcal{A}} \rangle$  which satisfies the sentences:

$$\exists x \forall y (E(x, y))$$

$$\exists x \forall y (\neg E(x, y))$$

$$\forall x \forall y ((E(x, y) \rightarrow E(y, x))).$$

- (b) Is there an  $L$ -structure  $\mathcal{A} = \langle A, E^{\mathcal{A}} \rangle$  that satisfies the sentences:

$$\forall x \exists y (E(x, y))$$

$$\forall x \forall y (E(x, y) \rightarrow \neg E(y, x))$$

$$\forall x \forall y \forall z ((E(x, y) \wedge E(y, z)) \rightarrow E(x, z))?$$

**Solution:** Note that when the context is clear, the interpretation of a relation symbol  $R$  by a structure  $\mathcal{A}$  will be written as just  $R$  rather than  $R^{\mathcal{A}}$ . The same will apply to interpretations of function symbols.

- (a) If  $\langle A, E \rangle$  is a structure which satisfies the three given sentences, then there is some element of  $A$ , call it  $\infty$  with  $(\infty, a) \in E$  for all  $a$  in  $A$ . From the second statement, we see that there is another element of  $A$ , call it  $\clubsuit$ , with  $(\clubsuit, b) \notin E$  for all  $b \in A$ . So we have, in particular, that  $(\infty, \clubsuit) \in E$  and  $(\clubsuit, \infty) \notin E$ . Finally, the third statement shows that since  $(\infty, \clubsuit) \in E$  then  $(\clubsuit, \infty) \in E$ , a contradiction.

(b) The structure  $\langle \mathbb{N}, < \rangle$  satisfies all three of the sentences.

6. Let  $\underline{\mathbb{N}}$  be the structure  $\langle \mathbb{N}, +, \cdot, 0, 1, \leq \rangle$ , where the function symbols  $+$  and  $\cdot$ , the constant symbols  $0$  and  $1$  and the predicate symbol  $\leq$  have their usual interpretations on the set of natural numbers. Determine which of the following sentences are satisfied by the structure  $\underline{\mathbb{N}}$ :

- i)  $\forall x \exists y (x = y + y \vee x = (y + y) + 1)$ ,
- ii)  $\forall x \forall y \exists z (x + z = y)$
- iii)  $\forall x \forall y (x \leq y \leftrightarrow \exists z (x + z = y))$ .

**Solution:**

The first sentence is true in  $\mathbb{N}$  since it expresses the fact that every natural number is either even or odd.

The second statement is not true in  $\mathbb{N}$  since by setting  $x$  to 1 and  $y$  to 0 we see that there is no natural number  $z$  with  $x + z = y$ .

The third statement is true in  $\mathbb{N}$  since it expresses the fact that a natural number is less than or equal to another iff their difference is a natural number.

7. Let  $L$  be a first order language with equality. For each natural number  $n$ , find sentences  $\alpha_n$ ,  $\beta_n$  and  $\gamma_n$  such that for all normal  $L$ -structures  $\mathcal{A}$ :

- (a)  $\mathcal{A} \models \alpha_n$  iff  $A$  has exactly  $n$  elements,
- (b)  $\mathcal{A} \models \beta_n$  iff  $A$  has at least  $n$  elements,
- (c)  $\mathcal{A} \models \gamma_n$  iff  $A$  has at most  $n$  elements.

Find a set  $\Sigma$  of sentences such that a normal  $L$ -structure  $\mathcal{A} \models \Sigma$  iff  $A$  is infinite. Note that the set  $\Sigma$  must consist of infinitely many sentences (we will prove this later).

**Solution:**

For  $n > 1$  let  $\beta_n$  be the formula:

$$\exists x_1 \exists x_2 \cdots \exists x_n \left( \bigwedge_{i \neq j} x_i \neq x_j \right).$$

Then a normal structure  $\mathcal{A}$  satisfies  $\beta_n$  if and only if it has at least  $n$  distinct elements. With the  $\beta_n$ 's we can define  $\alpha_n = (\beta_n \wedge \neg\beta_{n+1})$  and  $\gamma_n = \neg\beta_{n+1}$ .

Let  $\Sigma = \{\beta_n \mid n > 1\}$ .

B1 In this problem, we assume that McMaster has a **countably infinite** number of students  $S = \{s_0, s_1, \dots, s_n, \dots\}$  and that  $C$  is the set of courses that are on offer to them. Due to resource limitations, each student in  $S$  will be assigned to exactly one class from  $C$ . Also, each course  $c \in C$  has its enrolment capped at some finite number  $e_c$ . Each student  $s \in S$  provides a **finite** set  $C_s \subseteq C$  of the courses that they are willing to register in.

For  $A \subseteq S$ , a function  $\alpha : A \rightarrow C$  is a **good** assignment for  $A$  if

- For each  $s \in A$ ,  $\alpha(s) \in C_s$  (so  $\alpha$  assigns to  $s$  one of the courses they selected), and
- for each class  $c \in C$ ,  $|\alpha^{-1}(c)| \leq e_c$  (so no class is over-enrolled by  $\alpha$ ).

Suppose that for each **finite** subset  $A$  of  $S$  there is some good assignment  $\alpha : A \rightarrow C$  for  $A$ . Prove that there is some good assignment  $\alpha : S \rightarrow C$  for the entire set  $S$ . In your solution you should formulate this situation within propositional logic and then use the Compactness Theorem.

**Solution:**

For each  $s \in S$  and  $c \in C$ , introduce a new propositional variable  $P_{s,c}$ . The intended meaning of this variable is that  $s$  is assigned to the course  $c$ .

Given  $S$ ,  $C$ ,  $C_s$ , and  $e_c$  as above, for  $A \subseteq S$ , let  $\Gamma_A$  be the following (infinite) set of propositional formulas:

- for each  $s \in A$ , the formula

$$\bigvee_{c \in C_s} P_{s,c}.$$

(So if true, each student gets assigned to at least one of their preferred courses.)

- for each  $s \in A$  and  $c, d \in C$  with  $c \neq d$ , the formula  $\neg(P_{s,c} \wedge P_{s,d})$ . (So if true, no student gets assigned to two different courses.)
- for each  $c \in C$  and each subset  $B \subseteq A$  with  $|B| = e_c + 1$ , the formula

$$\neg \left( \bigwedge_{b \in B} P_{b,c} \right).$$

(So if true, there will never be more than  $e_c$  students enrolled in the course  $c$ .)

We claim that for  $A \subseteq S$ , the set of formulas  $\Gamma_A$  is satisfiable if and only if there is a good assignment  $f : A \rightarrow C$ .

For one direction, suppose that  $f : A \rightarrow C$  is good. Let  $\nu_f$  be the following truth assignment:

$$\nu_f(P_{s,c}) = \begin{cases} T & \text{if } f(s) = c \\ F & \text{if } f(s) \neq c \text{ or } s \notin A \end{cases}.$$

It can be seen that each of the formulas in  $\Gamma_A$  are satisfied by this assignment, since  $f(s) \in C_s$  for each  $s \in A$ , and  $|f^{-1}(c)| \leq e_c$  for each  $c \in C$ .

Conversely, suppose that  $\nu$  satisfies  $\Gamma_A$ . Define  $f_\nu(x) : A \rightarrow C$  by:  $f_\nu(s) = c$  if and only if  $\nu(P_{s,c}) = T$ . Since  $\nu$  satisfies  $\Gamma_A$ , then for each  $s \in A$ , there is a unique class  $c \in C$  such that  $\nu(P_{s,c}) = T$ , and this  $c$  belongs to  $C_s$ . Furthermore, for each  $c \in C$ ,  $|f_\nu^{-1}(c)| \leq e_c$ , for if not, then one of the formulas of the third type above would be not satisfied by  $\nu$ .

So, to show that there is a good assignment  $f : S \rightarrow C$  it suffices to show that the set  $\Gamma_S$  is satisfiable. By the Compactness Theorem, it suffices to show that each finite subset  $\Delta$  of  $\Gamma_S$  is satisfiable. Given such a subset  $\Delta$ , it follows that there is some finite subset  $A \subset S$  such that  $\Delta \subseteq \Gamma_A$ . Just let  $A$  consist of all  $s \in S$  such that the variable  $P_{s,c}$  occurs in some formula in  $\Delta$ , for some  $c \in C$ .

So, it suffices to show that for each finite subset  $A$  of  $S$ , the set  $\Gamma_A$  is satisfiable. But this is exactly the assumption that has been made, namely, that for each finite subset  $A$  of  $S$ , there is a good assignment  $f : A \rightarrow C$  (equivalently, that  $\Gamma_A$  is satisfiable).

B2 Do exercise 4.24 from the textbook.

**Solution:** We use Theorem 4.1 to solve this problem. Suppose  $\mathcal{A}$ ,  $\vec{a}$ , and  $\phi$  are given as in the problem. If

$$\mathcal{A} \models_{[\vec{x}/\vec{a}]} \phi$$

then

$$\mathcal{A} \models_{[\vec{x}/\vec{a}][x_i/a_i]} \phi$$

so by definition,

$$\mathcal{A} \models_{[\vec{x}/\vec{a}]} \exists x_i \phi.$$

Conversely, suppose that

$$\mathcal{A} \models_{[\vec{x}/\vec{a}]} \exists x_i \phi.$$

Then by definition, for some  $c \in A$ ,

$$\mathcal{A} \models_{[\vec{x}/\vec{a}][x_i/c]} \phi.$$

By Theorem 4.1, it follows that

$$\mathcal{A} \models_{[\vec{x}/\vec{a}][x_i/a_i]} \phi$$

since  $x_i$  doesn't occur freely in  $\phi$ . Thus

$$\mathcal{A} \models_{[\vec{x}/\vec{a}]} \phi.$$