## MATH 4L03 Assignment #2 Solutions

Due: Friday, September 27, 11:59pm.

Upload your solutions to the Avenue to Learn course website. Detailed instructions will be provided on the course website.

- 1. For each of the following formulas find formulas that are in disjunctive normal form and conjunctive normal form that are logically equivalent to it.
  - (a)  $(p \land q) \rightarrow r$ .
  - (b)  $(p \lor q) \land (\neg p \lor r)$ .
  - (c)  $(p \lor q) \leftrightarrow c$ .

## **Solution:**

(a)  $(p \land q) \to r$  is logically equivalent to  $\neg p \lor \neg q \lor r$  (CNF). The DNF formula equivalent to this is long. Since the formula is logically equivalent to  $\neg p \lor \neg q \lor r$ , then it is the disjunction of all possible conjuncts, except for the unique one,  $(p \land q \land \neg r)$  that corresponds to the only truth assignment that falsifies the formula. It looks like

$$(\neg p \land q \land r) \lor (\neg p \land q \land \neg r) \lor (\neg p \land \neg q \land r) \lor (\neg p \land \neg q \land \neg r) \lor (p \land \neg q \land \neg r) \lor (p \lor q \lor r).$$

(b)  $(p \lor q) \land (\neg p \lor r)$  is logically equivalent to

$$(p \wedge q \wedge r) \vee (p \wedge \neg q \wedge r) \vee (\neg p \wedge q \wedge \neg r) \vee (\neg p \wedge q \wedge r),$$

a formula in DNF. It is logically equivalent to the following CNF formula:

$$(p \vee q \vee r) \wedge (p \vee q \vee \neg r) \wedge (\neg p \vee q \vee r) \wedge (\neg p \vee \neg q \vee r).$$

(c)  $(p \lor q) \leftrightarrow c$  is logically equivalent to

$$(p \wedge q \wedge c) \vee (p \wedge \neg q \wedge c) \vee (\neg p \wedge q \wedge c) \vee (\neg p \wedge \neg q \wedge \neg c),$$

a formula in DNF. It is logically equivalent to the following CNF formula:

$$(p \lor q \lor \neg c) \land (p \lor \neg q \lor c) \land (\neg p \lor q \lor c) \land (\neg p \lor \neg q \lor c).$$

2. Let  $\alpha$  be a formula whose only connective symbols are  $\neg$ ,  $\lor$  and  $\land$ . Let  $\alpha'$  be the formula obtained by replacing each occurrence of  $\lor$  in  $\alpha$  by  $\land$ , each occurrence of  $\land$  in  $\alpha$  by  $\lor$  and each occurrence of a propositional variable by its negation.

For example, if  $\alpha$  is the formula:

$$(p_1 \vee (\neg p_2 \wedge p_1))$$

then  $\alpha'$  is:

$$(\neg p_1 \wedge (\neg \neg p_2 \vee \neg p_1)).$$

Show, for any formula  $\alpha$ , that  $\alpha'$  is logically equivalent to  $\neg \alpha$ .

**Solution:** The fact that  $\alpha'$  is logically equivalent to  $\neg \alpha$  will be proved by induction on the length of the formula  $\alpha$ .

For  $\alpha$  of length 0, say  $\alpha = p_i$  we see that  $\alpha'$  is just  $\neg p_i$ . So  $\alpha'$  is actually equal to  $\neg \alpha$  and the logical equivalence follows.

Assume the fact is true for all suitable formulas of length k or less and let  $\alpha$  be a formula of length k+1 whose only logical connectives are  $\vee$ ,  $\wedge$  and  $\neg$ . Then there are three cases to consider:

- $\alpha = (\beta \vee \gamma)$  for some formulas  $\beta$  and  $\gamma$ . Since these formulas are shorter than  $\alpha$ , then our inductive hypothesis applies to them, yielding that  $\beta'$  is logically equivalent to  $\neg \beta$  and  $\gamma'$  is logically equivalent to  $\neg \gamma$ . We see that  $\alpha'$  is just  $(\beta' \wedge \gamma')$  (this actually requires another inductive argument, but should be clear), and so by our hypothesis, we get that  $\alpha'$  is logically equivalent to  $(\neg \beta \wedge \neg \gamma)$ . This formula is logically equivalent (using De Morgan's Law) to  $\neg (\beta \vee \gamma)$ , which is just  $\neg \alpha$  as required.
- $\alpha = (\beta \wedge \gamma)$  for some formulas  $\beta$  and  $\gamma$ . This case is similar to the previous one and can be proved by repeating the previous argument with  $\wedge$  in place of  $\vee$  and vice versa.
- $\alpha = \neg \beta$ . Then as  $\beta$  has length less than  $\alpha$ , we know by our inductive hypothesis that  $\beta'$  is logically equivalent to  $(\neg \beta)$ . Then,  $\alpha' = \neg \beta'$  and so is logically equivalent to  $\neg \neg \beta$ . Of course, this formula is just  $\neg \alpha$  and so we are done.
- 3. Let  $\tau$  and  $\rho$  be formulas and  $\Gamma$  a set of formulas with  $\tau$  a tautology.

- (a) Prove that  $\Gamma \models \tau$ .
- (b) Prove that  $\tau \models \rho$  if and only if  $\rho$  is a tautology.

**Solution:** To show that  $\Gamma \models \tau$  we need to show that for all assignments  $\nu$ , if  $\nu$  satisfies  $\Gamma$  then  $\nu$  satisfies  $\tau$ . Since  $\tau$  is a tautology, then  $\nu$  satisfies  $\tau$  for all assignments  $\nu$ , in particular, for those which also satisfy  $\Gamma$ . Thus  $\Gamma \models \tau$ .

If  $\rho$  is any formula, then from what has been established in a),  $\tau \models \rho$  if  $\rho$  is a tautology. Conversely, if  $\tau \models \rho$ , then consider any assignment  $\nu$ . We need to show that  $\nu$  satisfies  $\rho$  in order to prove that  $\rho$  is a tautology. But, since  $\tau$  is a tautology, we have that  $\nu$  satisfies  $\tau$ . From  $\tau \models \rho$  we conclude that  $\nu$  satisfies  $\rho$  as required.

4. Let  $\phi$ ,  $\psi$ , and  $\theta$  be formulas. Show that

$$(\phi \to (\psi \to \theta)) \models ((\phi \to \psi) \to (\phi \to \theta)).$$

Does

$$((\phi \to \psi) \to (\phi \to \theta)) \models (\phi \to (\psi \to \theta))?$$

**Solution:** Truth tables can be used to show that both logical implications hold, i.e., that the two formulas are logically equivalent (the table will have 8 rows) or by an informal argument: If  $\nu$  is a truth assignment that falsifies  $((\phi \to \psi) \to (\phi \to \theta))$  we need to show that it also falsifies  $(\phi \to (\psi \to \theta))$ . But if  $\nu$  falsifies the first statement, then it must falsify  $\phi \to \theta$  and satisfy  $\phi \to \psi$ , so  $\nu(\phi) = \nu(\psi) = T$  and  $\nu(\theta) = F$ . But this assignment also falsifies  $(\phi \to (\psi \to \theta))$ , as required.

Conversely, if  $\nu$  is a truth assignment that falsifies  $(\phi \to (\psi \to \theta))$  then it follows that  $\nu(\phi) = \nu(\psi) = T$  and  $\nu(\theta) = F$  as well. But then  $\nu$  falsifies  $((\phi \to \psi) \to (\phi \to \theta))$ .

5. A set of formulas  $\Sigma$  is called **semantically closed** if:

for every formula  $\alpha$ , if  $\Sigma \models \alpha$ , then  $\alpha \in \Sigma$ .

(a) Prove that the set of tautologies is semantically closed. (Hint: use problem 3 a))

- (b) Prove that if  $\Gamma$  is semantically closed, then it contains every tautology.
- (c) Prove that the intersection of any collection of semantically closed sets is a semantically closed set.
- (d) Prove that for every set  $\Gamma$ , there is a smallest set of formulas (with respect to inclusion) which contains  $\Gamma$  and which is semantically closed (call this set the semantic closure of  $\Gamma$ ).
- (e) What is the semantic closure of the set  $\{p, \neg p\}$ ?

## **Solution:**

- (a) Let  $\Omega$  be the set of tautologies. To show that  $\Omega$  is semantically closed, we must show that for any formula  $\alpha$ , if  $\Omega \models \alpha$  then  $\alpha$  is a tautology. So, suppose that  $\Omega \models \alpha$ , and let  $\nu$  be a truth assignment. Since every truth assignment satisfies every tautology, then  $\nu$  satisfies  $\Omega$ . But then  $\Omega \models \alpha$  implies that  $\nu$  satisfies  $\alpha$ . Thus we have shown that every truth assignment satisfies  $\alpha$  and so we conclude that  $\alpha$  is a tautology.
- (b) Let  $\Gamma$  be semantically closed and let  $\tau$  be a tautology. Then from problem #3 we see that  $\Gamma \models \tau$ . Since  $\Gamma$  is assumed to be semantically closed, it follows that  $\tau \in \Gamma$ .
- (c) Let I be some set and  $\{\Gamma_i : i \in I\}$  be a collection (indexed by I) of semantically closed sets. Let  $\Gamma$  be the intersection of the  $\Gamma_i$ ,  $i \in I$ . To show that  $\Gamma$  is semantically closed, suppose that  $\Gamma \models \alpha$ . Since  $\Gamma \subseteq \Gamma_i$  for each  $i \in I$  then it follows that  $\Gamma_i \models \alpha$  for each  $i \in I$ . Since the  $\Gamma_i$  are semantically closed, it follows that  $\alpha \in \Gamma_i$  for each  $i \in I$ . This implies that  $\alpha$  is in the intersection of the  $\Gamma_i$ 's and so  $\alpha \in \Gamma$ . Thus  $\Gamma$  is semantically closed.
- (d) The set of all formulas is semantically closed, so there is at least one semantically closed set which contains  $\Gamma$ . Let  $\overline{\Gamma}$  be the intersection of **all** semantically closed sets which contain  $\Gamma$ . So,  $\overline{\Gamma}$  is a subset of every semantically closed set which contains  $\Gamma$ . From part (c) of this problem we see that  $\overline{\Gamma}$  is semantically closed (and of course, contains  $\Gamma$ ). It is the smallest such set, since as noted earlier,  $\overline{\Gamma}$  is a subset of every semantically closed set which contains  $\Gamma$ .

Here is another solution: Let  $\overline{\Gamma}$  be the set of all formulas which are logically implied by  $\Gamma$ . Then  $\Gamma$  is contained in this set, since  $\Gamma \models \gamma$  for all  $\gamma \in \Gamma$ . This set is semantically closed, for if  $\overline{\Gamma} \models \alpha$ , then  $\Gamma \models \alpha$  and thus, by definition,  $\alpha \in \overline{\Gamma}$ .

 $\overline{\Gamma}$  is the smallest semantically closed set which contains  $\Gamma$  since if  $\Delta$  is any other semantically closed set which contains  $\Gamma$ , then for  $\alpha \in \overline{\Gamma}$  we have that  $\Gamma \models \alpha$ , implying that  $\Delta \models \alpha$ , which in turn implies that  $\alpha \in \Delta$  since  $\Delta$  is semantically closed. Thus  $\overline{\Gamma} \subseteq \Delta$ .

- (e) Since every formula is logically implied by  $\{p_1, \neg p_1\}$  it follows that the set of all formulas is the semantic closure of this set.
- 6. Let  $\Sigma$  be a set of formulas and  $\alpha$  and  $\beta$  be formulas.
  - (a) Show that if either  $\Sigma \models \alpha$  or  $\Sigma \models \beta$  then  $\Sigma \models (\alpha \vee \beta)$ .
  - (b) Show, by example, that the statement: "if  $\Sigma \models (\alpha \lor \beta)$  then either  $\Sigma \models \alpha$  or  $\Sigma \models \beta$ " is false in general.

**Solution:** Suppose that  $\Sigma \models \alpha$ . Then for all truth assignments  $\nu$ , if  $\nu$  satisfies  $\Sigma$  then  $\nu$  satisfies  $\alpha$ . But then by the definition of the connective  $\vee$ , we have that  $\nu$  satisfies  $(\alpha \vee \beta)$ , and so we have shown that  $\Sigma \models (\alpha \vee \beta)$ . Similarly, if  $\Sigma \models \beta$  we can conclude that  $\Sigma \models (\alpha \vee \beta)$ . Let  $\Sigma$  be the set  $\{(p_1 \vee p_2)\}$ ,  $\alpha = p_1$  and  $\beta = p_2$ . Then,  $\Sigma \models (\alpha \vee \beta)$ , since  $\Sigma = \{(\alpha \vee \beta)\}$  but  $\Sigma \not\models \alpha$  and  $\Sigma \not\models \beta$ .

BONUS: Suppose that  $\theta \in Form(P, \{\neg, \leftrightarrow\})$ . Prove that  $\theta$  is a tautology if and only if every propositional variable occurs an even number of times in  $\theta$  and the connective  $\neg$  occurs an even number of times in  $\theta$ .

**Solution:** We first deal with the negation connective by showing that the following property holds for all formulas  $\theta \in Form(P, \{\neg, \leftrightarrow\})$ .

If  $\theta$  has an even number of occurrences of  $\neg$  then it is logically equivalent to a formula  $\theta'$  that only contains  $\leftrightarrow$  and such that the number of occurrences of each variable in  $\theta$  is the same as in  $\theta'$ . If  $\theta$  has an odd number of occurrences of  $\neg$  then it is logically equivalent to a formula  $\neg \theta'$  where  $\theta'$  only contains  $\leftrightarrow$  and such that the number of occurrences of each variable in  $\theta$  is the same as in  $\theta'$ .

We prove this by induction on the length of  $\theta$ . If  $\theta$  has length 0 then it is a variable, and there is nothing to show. If the length of  $\theta$  is n+1 for some  $n \geq 0$  and the condition holds for shorter formulas, then there are two similar cases to consider:  $\theta$  has an even number of occurrences of  $\neg$  or it has an odd number. Suppose that the former holds. There are two subcases to consider:  $\theta = \neg \alpha$  or  $\theta = (\alpha \leftrightarrow \beta)$ . In the first subcase, since  $\theta$  has an even number of occurrences of  $\neg$ , then  $\alpha$  has an odd number and so by induction,  $\alpha \equiv \neg \alpha'$ , for some  $\alpha'$  as in the stated condition. But then  $\theta \equiv \neg \neg \alpha' \equiv \alpha'$  and we are done, since  $\theta$  and  $\alpha'$  have the same number of occurrences of each variable.

In the other subcase,  $\theta = (\alpha \leftrightarrow \beta)$  and we have, by induction, that the condition holds for  $\alpha$  and for  $\beta$ . There are two possibilities: either both  $\alpha$  and  $\beta$  contain an even number of  $\neg$ 's or both contain an odd number. In the former, we can argue as in the previous subcase to conclude that  $\theta \equiv (\alpha' \leftrightarrow \beta')$ , and in the latter we conclude that

$$\theta \equiv (\neg \alpha' \leftrightarrow \neg \beta') \equiv \neg \neg (\alpha' \leftrightarrow \beta') \equiv (\alpha' \leftrightarrow \beta'),$$

showing that the condition holds for  $\theta$  in this case. The other case, where the number of occurrences of  $\neg$  in  $\theta$  is odd can be handled similarly.

This shows that the condition holds for all formulas  $\theta \in Form(P, \{\neg, \leftrightarrow \})$ . From this we can see that if  $\theta$  has an odd number of  $\neg$ 's then it isn't a tautology. If  $\theta \equiv \neg \theta'$  as in the condition, then the truth assignment that sets all variables to T will satisfy  $\theta'$  (this should be proved by induction on the length of  $\theta'$ ) and so falsifies  $\neg \theta'$  and hence falsifies  $\theta$ .

So, now we need only consider formulas in which  $\neg$  occurs an even number of times. Using the above condition, we need only consider those formulas in which  $\neg$  doesn't occur at all, i.e., only those formulas  $\theta \in Form(P, \{\leftrightarrow\})$ . We will show that such a formula is a tautology if and only if each variable occurs an even number of times in  $\theta$ .

We will prove, by induction on the length of  $\theta \in Form(P, \{\leftrightarrow\})$  that:

If  $\nu$  is a truth assignment, then  $\nu(\theta) = T$  if and only if the number of occurrences, counting repetitions, of variables p in  $\theta$  for which  $\nu(p) = F$  is even.

When  $\theta$  has length 1, this condition can be seen to hold. Suppose that  $\theta = (\alpha \leftrightarrow \beta)$  and the condition holds for  $\alpha$  and  $\beta$ . Let  $\nu$  be a truth assignment such that the number of occurrences of variables p in  $\theta$  for which  $\nu(p) = F$  is even. There are two cases to consider. In the first, for both  $\alpha$  and  $\beta$ , the number of such variables is even. In this case, by induction,  $\nu(\alpha) = \nu(\beta) = T$  and so  $\nu(\theta) = T$ . In the other case, the number of occurrences of variables for which  $\nu(p) = F$  is odd for both  $\alpha$  and  $\beta$ . Then by induction,  $\nu(\alpha) = \nu(\beta) = F$ , and so  $\nu(\theta) = T$ .

Conversely, suppose that  $\nu$  is a truth assignment such that  $\nu(\theta) = T$ . Then either  $\nu(\alpha) = \nu(\beta) = T$  or  $\nu(\alpha) = \nu(\beta) = F$ . In the first case, we have by induction that the number of occurrences of variables p with  $\nu(p) = F$  in  $\alpha$  is even and is also even for  $\beta$ . But then the number of occurrences of such variables in  $\theta$  is also even, as required. The final case, where  $\nu(\alpha) = \nu(\beta) = F$  can be handled similarly.

So we see that if  $\theta \in Form(P, \{\leftrightarrow\})$  is such that each variable occurs an even number of times, then every truth assignment must satisfy  $\theta$  and so  $\theta$  is a tautology. On the other hand, if some variable p occurs an odd number of times in  $\theta$  then the truth assignment that sets p to F and all other variables to T will falsify  $\theta$ , demonstrating that  $\theta$  is not a tautology.