TWO REMARKS ON WALL'S D2 PROBLEM

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ABSTRACT. If a finite group G is isomorphic to a subgroup of SO(3), then G has the D2-property. Let X be a finite complex satisfying Wall's D2-conditions. If $\pi_1(X) = G$ is finite, and $\chi(X) \ge 1 - \operatorname{def}(G)$, then $X \lor S^2$ is simple homotopy equivalent to a finite 2-complex, whose simple homotopy type depends only on G and $\chi(X)$.

1. INTRODUCTION

In [32, §2], C. T. C. Wall initiated the study of the relations between homological and geometrical dimension conditions for finite CW-complexes. In particular, a finite complex X satisfies Wall's D2-conditions if $H_i(\tilde{X}) = 0$, for i > 2, and $H^3(X; \mathcal{B}) = 0$, for all coefficient bundles \mathcal{B} . Here \tilde{X} denotes the universal covering of X. If these conditions hold, we will say that X is a D2-complex. If every D2-complex with fundamental group G is homotopy equivalent to a finite 2-complex, then we say that G has the D2-property.

In [32, p. 64], Wall proved that a finite complex X satisfying the D2-conditions is homotopy equivalent to a finite 3-complex. We will therefore assume that all our D2complexes have dim $X \leq 3$.

The D2 problem for a finitely-presented group G asks whether every finite complex X with fundamental group G which satisfies the D2-conditions is homotopy equivalent to a finite 2-complex. The D2 problem has been actively studied for finite groups, but answered affirmatively only in a limited number of cases (see [18], [21] for references to the literature on 2-complexes and the D2-problem, and compare [24], [20], [19] for some more recent work).

In this note, I make two remarks concerning the (stable) solution of the D2-problem and cancellation, based on my joint work with Matthias Kreck [11, Theorem B]. I am indebted to Dr. W. H. Mannan for asking about this connection some years ago.

For G a finitely presented group, let def(G) denote the *deficiency of* G, defined as the maximum value of the number of generators minus the number of relations over all finite presentations of G. We note that 1 - def(G) is the minimal Euler characteristic possible for a finite 2-complex with fundamental group G.

Swan defined $\mu_2(G)$ as the minimum of the numbers $\mu_2(\mathcal{F}) = f_2 - f_1 + f_0$, where f_i are the ranks of the finitely generated free $\mathbb{Z}G$ -modules F_i in an exact sequence

$$\mathcal{F}: F_2 \to F_1 \to F_0 \to \mathbb{Z} \to 0.$$

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In general, Swan [31, Proposition 1] noted that $\mu_2(G) \leq 1 - \operatorname{def}(G)$. For a finite D2complex X, we have the Euler characteristic inequality $\chi(X) \geq \mu_2(G)$ (see Section 2 for details). In addition, $\mu_2(G) \geq 1$ for G a finite group by [31, Corollary 1.3].

Theorem A 1. Let X be a finite D2-complex, and assume that $G := \pi_1(X)$ is a finite group. Then

- (i) if $\chi(X) > 1 \operatorname{def}(G)$, X is simple homotopy equivalent to a finite 2-complex;
- (ii) If $\chi(X) = 1 \operatorname{def}(G)$, $X \vee S^2$ is simple homotopy equivalent to a finite 2-complex.

In case (i) the simple homotopy type of X depends only on $\pi_1(X)$ and $\chi(X)$.

The uniqueness part is a direct application of [11, Theorem B], since the resulting 2complexes have non-minimal Euler characteristic. We remark that the unpublished work of Browning [6] implies the corresponding weaker statements for homotopy equivalence, rather than simple homotopy equivalence (see Corollary 2.6).

Remark 1.1. A stable solution of the problem for D2-complexes with any finitely presented fundamental group was first given by Cohen [7, Theorem 1]: if X is a D2-complex, then there exists an integer $r \ge 0$ such that the stabilized complex $X \lor r(S^2)$ is homotopy equivalent to a finite 2-complex.

This result and the foundational work of J. H. C. Whitehead [34] shows that any two D2-complexes with isomorphic fundamental groups become stably simple homotopy equivalent after wedging on sufficiently many 2-spheres. I give a different argument in Lemma 2.1 for the stable result, and show that it holds whenever $r \geq b_3(X)$ (compare [19, Proposition 3.5]). Here $b_3(X)$ denotes the number of 3-cells in X.

If the group ring $\mathbb{Z}G$ is noetherian, then there exists a uniform bound for this stable range, depending only on the fundamental group (see Proposition 2.7). This remark applies for example to polycyclic-by-finite fundamental groups.

Theorem B 1. Let G be a finite subgroup of SO(3). Then any finite D2-complex with fundamental group G is simple homotopy equivalent to a finite 2-complex, and G has the D2-property.

This result is an application of [11, Theorem 2.1]. The result was known for cyclic and dihedral groups (see [23], [28], [26]), but the argument given here is more uniform and the tetrahedral, octahedral and isosahedral groups do not seem to have been covered before.

Remark 1.2. Brown and Kahn [5, Theorem 2.1] proved that that a D2-complex which is a nilpotent space is homotopy equivalent to a 2-complex, but this does not appear to settle the D2 problem for nilpotent fundamental groups.

Remark 1.3. A result essentially contained in the proof of Wall [33, Theorem 4] shows that there exist finite D2-complexes X, with $\pi_1(X) = G$ and $\chi(X) = \mu_2(G)$ realizing this minimum value, for every finitely presented group G. Since $\mu_2(G) \leq 1 - \operatorname{def}(G)$ by Swan [31, Proposition 1], a *necessary* condition for any group G to have the D2-property is that $\mu_2(G) = 1 - \operatorname{def}(G)$.

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2. CANCELLATION AND THE D2 PROBLEM

We assume that X is a finite, connected 3-complex, with fundamental group $G = \pi_1(X)$, satisfying the D2-conditions. We use the following notation for the chain complex $C(\tilde{X};\mathbb{Z})$ of the universal covering:

$$C(X): 0 \to C_3 \xrightarrow{\partial_3} C_2 \xrightarrow{\partial_2} C_1 \xrightarrow{\partial_1} C_0 \to \mathbb{Z} \to 0,$$

considered as a chain complex of finitely-generated, free Λ -modules relative to a single 0-cell as base-point, where $\Lambda = \mathbb{Z}G$ is the integral group ring.

The boundary map ∂_3 is injective because $H_3(X) = 0$. Let $B_3 = \operatorname{im}(\partial_3)$, with $j: B_3 \to C_2$ the inclusion map, and consider the boundary map $\partial_3: C_3 \to B_3$ as defining a 3-cocycle. Since $H^3(X; B_3) = 0$, there is a Λ -module homomorphism $\phi: C_2 \to B_3$ such that $\phi \circ j = \operatorname{id}$. We have an exact sequence

$$0 \to C_3 \to \pi_2(K) \to \pi_2(X) \to 0$$

where $K \subset X$ denotes the 2-skeleton (since $\pi_2(K) = Z_2 = \ker \partial_2$). It follows that C_3 is a direct summand of $\pi_2(K)$, and hence $\pi_2(X)$ is a representative of the stable class $\Omega^3(\mathbb{Z})$. More explicitly, the map ϕ induces a direct sum splitting $C_2 = \operatorname{im}(\partial_3) \oplus P$, and $P \cong C_2/\operatorname{im}(\partial_3)$ is a finitely-generated, stably-free Λ -module since $C_3 \cong \operatorname{im}(\partial_3)$ is a finitely-generated, free Λ -module. This gives a commutative diagram:



where the vertical sequences are split exact, and hence a resolution

$$0 \to \pi_2(X) \to P \to C_1 \to C_0 \to \mathbb{Z} \to 0$$

By a sequence of elementary expansions (on the chain complex these are just the direct sum with copies of $\Lambda = \Lambda$ in dimensions 1 and 2), we may assume that P is a finitelygenerated, free Λ -module. This operation doesn't change the (simple) homotopy type of X. The following result has also been observed in [7], [19, Theorem 3.5]. Our proof uses the techniques of [11, §2].

Lemma 2.1. The stabilized complex $X \vee r(S^2)$, with $r = b_3(X)$, is simple homotopy equivalent to a finite 2-complex K.

Proof. Let $u: K \subset X$ denote the inclusion of the 2-skeleton of X, so that we have the identification $\pi_2(K) \cong \pi(X) \oplus C_3$ discussed above. We further identify

(2.2)
$$\pi_2(K \vee r(S^2)) \cong \pi_2(K) \oplus \Lambda^r \cong \pi_2(X) \oplus C_3 \oplus F$$

and fix free Λ -bases $\{e_1, \ldots, e_r\}$ for $C_3 \cong \Lambda^r$, and $\{f_1, \ldots, f_r\}$ for $F \cong \Lambda^r$. The same notation $\{e_i\}$ and $\{f_j\}$ will also be used for continuous maps $S^2 \to K \vee r(S^2)$ in the homotopy classes of $\pi_2(K \vee r(S^2))$ defined by these basis elements. Notice that the maps $f_j: S^2 \to K \vee r(S^2)$ may be chosen to represent the inclusions of the S^2 wedge factors.

We first claim that there exists a (simple) self-homotopy equivalence

$$h\colon K\vee r(S^2)\to K\vee r(S^2)$$

such that the induced isomorphism

$$h_* \colon \pi_2(K \lor r(S^2)) \xrightarrow{\cong} \pi_2(K \lor r(S^2))$$

has the property $h_*(e_i) = f_i$, for $1 \le i \le r$, with respect to the chosen bases in the right-hand side of (2.2), and induces the identity on the summand $\pi_2(X)$.

The construction of the required self-homotopy equivalences is given in [11, p. 101], where the realization of the group of elementary automorphisms $E(P_1, L \oplus P_0)$ is studied. In this notation P_0 , P_1 are free modules of rank one, and L is an arbitrary Λ -module. The basic construction is to realize automorphisms of the form 1 + f and 1 + g, where $f: L \oplus P_0 \to P_1$ and $g: P_1 \to L \oplus P_0$ are arbitrary Λ -homomorphisms. We apply this to the sub-module $L \oplus \Lambda \cdot e_1 \oplus \Lambda \cdot f_1$, where $L = \pi_2(X)$, and realize the automorphism $\mathrm{id}_L \oplus \alpha$ with $\alpha(e_1) = -f_1$ and $\alpha(f_1) = e_1$ via the composition

$$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix}.$$

We can now construct a homotopy equivalence $f: X \vee r(S^2) \to K$, by extending the simple homotopy equivalence $h: K \vee r(S^2) \to K \vee r(S^2)$ over the (stabilized) inclusion

$$u \lor \mathrm{id} \colon K \lor r(S^2) \to X \lor r(S^2)$$

by attaching the 3-cells of X in domain, and 3-cells in the range which cancel the S^2 wedge factors. For the attaching maps $[\partial D_i^3] = e_i$, $1 \le i \le r$, of the 3-cells of X we have $h \circ [\partial D_i^3] = f_i$. Hence we can extend by the identity to 3-cells attached along the maps $\{f_i: S^2 \to K \lor r(S^2)\}$. We obtain a map

$$h' \colon X \lor r(S^2) \to K \lor r(S^2) \bigcup \{D_i^3 : [\partial D_i^3] = f_i, 1 \le i \le r\} \simeq K$$

extending h. It is easy to check that h' is a (simple) homotopy equivalence.

An algebraic 2-complex over the group ring $\Lambda := \mathbb{Z}G$ is a chain complex (F_*, ∂_*) of the form

$$F_2 \xrightarrow{\partial_2} F_1 \xrightarrow{\partial_1} F_0$$

consisting of an exact sequence of finitely-generated, stably-free Λ -modules, such that $H_0(F_*) = \mathbb{Z}$. An *r*-stabilization of an algebraic 2-complex is the result of direct sum with a complex (E_*, ∂_*) , where $E_2 = \Lambda^r$ for some $r \ge 0$, $\partial_* = 0$ and $E_i = 0$ for $i \ne 2$. We say that an algebraic 2-complex is geometrically realizable if it is chain homotopy equivalent

to the cellular chain complex C(X) of a (geometric) finite 2-complex X with fundamental group $\pi_1(X) = G$.

Lemma 2.3. Any algebraic 2-complex (F_*, ∂_*) over $\Lambda = \mathbb{Z}G$ is geometrically realizable after an r-stablization, for some $r \ge 0$.

Proof. We compare the resolution

$$0 \to L \to F_2 \to F_1 \to F_0 \to \mathbb{Z} \to 0,$$

where $L = \ker \partial_2$, to one obtained from the chain complex

$$0 \to \pi_2(K) \to C_2(K) \to C_1(K) \to C_0(K) \to \mathbb{Z} \to 0$$

of any finite 2-complex K with fundamental group G. Then Schanuel's Lemma shows that these two resolutions of Λ -modules (regarded as connected 3-dimensional chain complexes) are stably chain isomorphic after direct sum with elementary complexes of the form $\Lambda = \Lambda$ in degrees (i, i - 1) for $1 \le i \le 3$ (compare [33, Lemma 3B], or [12, p. 415]).

The stabilizations in degrees (i, i - 1) for i < 3 produce a complex (F'_*, ∂'_*) of finitely generated free Λ -modules, and a chain homotopy equivalence $(F'_*, \partial'_*) \simeq (F_*, \partial_*)$. The additional degree (3, 2) stabilizations produce a complex (F''_*, ∂''_*) , and a chain homotopy equivalence $(F''_*, \partial''_*) \simeq (F_*, \partial_*) \oplus (E_*, \partial_*)$, where (E_*, ∂_*) is a complex concentrated in degree 2 (as defined above).

In other words, the resulting stabilized complex $(F_*, \partial_*) \oplus (E_*, \partial_*)$ is an *r*-stabilization of (F_*, ∂_*) . The chain homotopy equivalence

$$(F_*, \partial_*) \oplus (E_*, \partial_*) \simeq C_*(K \lor r(S^2))$$

shows that the algebraic 2-complex (F_*, ∂_*) is geometrically realizable after r-stabilization.

Corollary 2.4 (Wall). Every algebraic 2-complex F_* is chain homotopy equivalent to the chain complex $C_*(X)$ of a D2-complex.

Proof. The construction produces a chain homotopy equivalence

$$(F_*, \partial_*) \oplus (E_*, \partial_*) \simeq C_*(K \lor r(S^2))$$

after an r-stabilization of F_* , and in particular an isomorphism $L \oplus E_2 = \pi_2(K) \oplus \Lambda^r$, for some $r \ge 0$. Then one can attach 3-cells to $K \lor r(S^2)$, using the images in $\pi_2(K \lor r(S^2))$ of a free basis of the summand $E_2 \cong \Lambda^r$, to produce a D2-complex X and a chain homotopy equivalence $C(X) \simeq F_*$.

Remark 2.5. The ingredients in the proof of Lemma 2.3 are essentially the same as those used by Wall to prove [33, Theorem 4]. Similar ideas appear in [21, Appendix B], [25, Theorem 2.1].

The proof of Theorem A. Let X be a finite 3-complex which satisfies the D2-conditions. By Lemma 2.1, there exists a finite 2-complex K and a simple homotopy equivalence $f: X' := X \vee r(S^2) \to K$, for any $r \geq b_3(X)$. Now let $G = \pi_1(X)$ be a finite group, and let K_0 denote a minimal finite 2-complex K_0 with fundamental group G. Then $\chi(K_0) = 1 - \operatorname{def}(G)$, and, after perhaps stabilizing further, we can assume that K is

simple homotopy equivalent to a stabilization of K_0 . We then obtain a simple homotopy equivalence of the form

$$X \lor r(S^2) \simeq K_0 \lor t(S^2) \lor r(S^2)$$

where $t \ge 0$ provided that $\chi(X) \ge 1 - \operatorname{def}(G) = \chi(K_0)$. We note that the arguments in [11, §2] are at first completely algebraic (to obtain cancellation of the π_2 modules via elementary automorphisms). This step depends on the assumption of finite fundamental group for the application of [11, Corollary 1.2 and Lemma 1.16] (compare the proof of [11, Theorem B]). Then we show (following [11, p. 101]) how to realize the necessary elementary automorphisms by simple homotopy equivalences.

If $\chi(X) > \chi(K_0)$, then $t \ge 1$ and we can construct simple self-equivalences of $K_0 \lor t(S^2) \lor r(S^2)$ to cancel the extra r wedge summands of $X \lor r(S^2)$. The resulting 2-complex will be $K' \simeq K_0 \lor t(S^2)$.

If $\chi(X) = \chi(K_0)$, then t = 0 but we can perform the same operations after replacing X by $X \vee S^2$, and the resulting 2-complex will be $K' \simeq K_0 \vee S^2$. In either case, the resulting 2complex K' has non-minimal Euler characteristic $\chi(K') > \chi(K_0)$, so its simple homotopy type is uniquely determined by G and $\chi(X)$ (see [11, Theorem B]).

The techniques used in this proof also give a version for algebraic 2-complexes (answering a question of Browning [6, §5.6]). We recall that an *s*-basis for a stably free Λ -module M is a free Λ -basis for some stabilization $M \oplus \Lambda^r$ by a free module.

Corollary 2.6. Let F and F' be s-based algebraic 2-complexes over $\Lambda = \mathbb{Z}G$, where G is a finite group. If $\chi(F) = \chi(F') > \mu_2(G)$, then F and F' are simple chain homotopy equivalent.

Proof. We apply Corollary 2.4 and the method of proof for Theorem A.

The proof of Theorem B. The same remarks as above apply to the proof of [11, Theorem 2.1]. In addition, we note that $\mu_2(G) = 1 - \operatorname{def}(G)$ for all of the finite subgroups of SO(3). For these groups, $\operatorname{def}(G) \geq -1$ (see Coxeter [8, §6.4]), and $\mu_2(G)$ can be estimated by group cohomology using Swan [31, Theorem 1.1]. We can now apply cancellation down to r = 0 for fundamental groups which are finite subgroups of SO(3). This proves that every algebraic 2-complex with one of these fundamental groups is geometrically realizable. \Box

The uniform stability bound for D2-complexes in Theorem A is a special result for finite fundamental groups, based initially on the fact that their integral group rings are finite algebras over the integers. Here is a sample stability result which applies to certain infinite fundamental groups (compare Brown [4]).

Proposition 2.7. Let G be a finitely presented group such that the integral group ring $\mathbb{Z}G$ is noetherian of Krull dimension d_G . If X is a finite complex with $\pi_1(X) = G$ satisfying the D2-conditions, then $X \vee r(S^2)$ is simple homotopy equivalent to a finite 2-complex, for $r \geq d_G + 1$, whose simple homotopy type is uniquely determined by G and $\chi(X)$.

Proof. (Sketch) The arguments follow the same outline as those used by Bass [1, Chap IV.3.5] to prove a cancellation theorem for modules using elementary automorphisms. The ingredients in these arguments were generalized to apply to non-commutative noetherian

rings by Magurn, van der Kallen and Vaserstein [22], and Stafford [29, 30] (see also Mc-Connell and Robson [27, Chap. 11]). The application to 2-complexes follows by realizing elementary automorphisms by simple homotopy self-equivalences, as in [11, §2]. \Box

Remark 2.8. For G finite, the integral group ring $\mathbb{Z}G$ has Krull dimension $d_G = 1$, so the Bass stability bound would be $d_G + 1 = 2$. If G is a polycyclic-by-finite group, the group ring $\mathbb{Z}G$ is again noetherian and $d_G = h_G + 1$, where h_G denotes the *Hirsch length* of G (see [27, 6.6.1]). The examples of [9], [15], [16], [17] show that for general infinite fundamental groups (for example, the fundamental group of the trefoil knot), there can be (infinitely) many distinct 2-complexes with the same Euler characteristic.

3. The relation gap problem

We will conclude by mentioning a related problem. If F/R is a finite presentation for a group G, then the action of the free group F by conjugation on the normal subgroup Rinduces an action of G on the quotient abelian group $R_{ab} := R/[R.R]$. This $\mathbb{Z}G$ -module R_{ab} is called the *relation module* for G.

Let $d(\Gamma)$ denote the minimum number of elements needed to generate a group Γ , and if a group Q acts on Γ , then let $d_Q(\Gamma)$ denote the minimum number of Q-orbits needed to generate Γ . Note that $d(\Gamma) \geq d_G(\Gamma)$.

In this notation, $d_F(R)$ is the minimum number of normal generators for R, and $d_G(R/[R.R])$ is the minimum number of $\mathbb{Z}G$ -module generators for the module R_{ab} .

Definition 3.1. For a finite presentation F/R of a group G, the relation gap is the difference $d_F(R) - d_G(R/[R, R])$. The relation gap problem is to decide whether there exists a finitely presentation with a positive relation gap.

The survey articles of Harlander [13, 14] provide some key examples (such as those constructed by Bridson and Tweedale [3]), and a guide to the literature. A connection to the D2 problem is provided by the following result:

Theorem 3.2 (Dyer [13, Theorem 3.5]). Let G be a group with $H^3(G; \mathbb{Z}G) = 0$. If there exists a finite presentation F/R with a positive relation gap, realizing the deficiency of G, then the D2 property does not hold for G.

The D2 problem can be considered a generalization of the Eilenberg-Ganea conjecture [10], which states that a group G with cohomological dimension 2 also has geometric dimension 2. If cd(G) = 2 and the classifying space BG is homotopy equivalent to a finite complex, then G will satisfy the Eilenberg-Ganea conjecture if G has the D2 property.

A striking result of Bestvina and Brady [2, Theorem 8.7] shows that either the Eilenberg-Ganea conjecture is false, or there is a counterexample to the Whitehead conjecture, which states that every connected subcomplex of an aspherical 2-complex is aspherical.

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